

Summary of Completed Audit work up to 30 September 2024

Audit Assignments 2024/25	Director	Date to Audit Committee	Assurance	Residual Risk	Comments
Delivery of strategic outcomes and good governance					
Children Missing from Education (CME) and Elective Home Education (EHE)	Children's	07/11/2024	Substantial	Low	
Cleethorpes Funding Bid Masterplan Governance	Economy, Environment and Infrastructure	07/11/2024	Substantial	Medium	
Freedom of Information Act/ Environmental Information Regulations	Resources	07/11/2024	Substantial	Low	
ICT					
Review of ICT Strategy Refresh & Draft Cyber Security Strategy	Place and Resources	07/11/2024	Satisfactory	Low	
North East Lincolnshire Council – Information Governance for Microsoft 365 (M365)	Place and Resources	07/11/2024	Satisfactory	Low	
Procurement and contract and contract monitoring					
Review of individual contracts					As part of our assessment of procurement arrangements, throughout the year we carry out sample reviews of individual contracts. Of the two reviewed so far we found that in one case the supplier was not providing the reports as required by the invitation to tender (this has been subsequently addressed), and in the other the document that provides evidence that the evaluation of the Tenders adhered to the invitation to tender and thereby not able to fully demonstrate fairness and transparency in the awarding of the contract
Follow up					
Discharge of Mental Health Act Responsibilities	Adults	07/11/2024	Limited	Medium	There are still a number of outstanding actions, that will require implementing once the s117 Policy is in place. Review of the policy is being led by the Integrated Care Board (ICB). The Council, and its partners, therefore remain at risk of not meeting their legal obligations in relation to mental health.
Public Health Grant	Public Health	07/11/2024	Satisfactory	Low	Three of the four actions have been completed or been substantially completed, and the fourth is scheduled to be completed by 31 December 2024.